

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	ATTY. DOCKET NO. 36287-04404	SERIAL NO. 10/723,264
	APPLICANT Gray et al.	
	FILING DATE November 26, 2003	GROUP ART UNIT 3694

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,269,346	7-2001	Cristofich et al			
	6,567,790	5/20/03	Slane			
	7,212,993	5-2007	Bodurtha et al.			
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	2002/0116310	08-2002	Cohen et al.			
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	Applications of random walkers to Stock Pricing, www.math.mit.edu/stocker/18354.d/financial.pdf ; Spring 2004
	Transferable Stock Options: Compensation for the 21st Century, David A. Seaman, Workspan, May 2004, 47(5) pages 64-71
	Transferable Stock Options: Microsoft's Program, Frederic W. Cook & Co., Inc. Oct. 31, 2003
	Transferable Stock Options (TSOs) and the Coming Revolution in Equity-Based Pay, Brian J. Hall, Journal of Applied Corporate Finance, Winter 2004 16(1)

EXAMINER /Scott Trotter/	DATE CONSIDERED 01/21/2009
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet _ of 2

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2002/0172349	11-2002	Shea			
		2002/0194136	12-2002	Sullivan et al.			
		2002/0198804	12-2002	Calvin			
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			Statement 133 Implementation Issues, No. A17, FASB, May 1, 2003				
			Financial Accounting Series Statement of Financial Accounting Standards No. 123 (revised 2004), Financial Accounting Standards Board of the Financial Accounting Foundation, No. 263-C				
			Menachem Brenner, Rangarajan K. Sundaram and David Yermack, Alternating the terms of executive stock options, 2000, Journal of Financial and Economics, 57, pages 103-128				
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